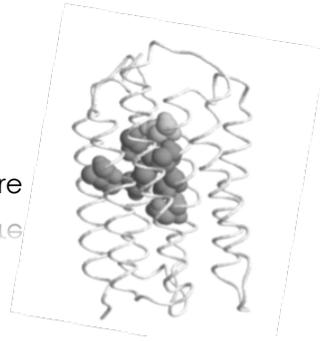


part 3: analysis of natural selection pressure

part 3: αναλυση οτ φυσικη επιλεκτικη πιεση



types of codon models

typer ot codon model

"OMEGA MODELS"

$$Q_{ij} = \begin{cases} 0 & \text{if } i \text{ and } j \text{ differ by } > 1 \\ \pi_j & \text{for synonymous tv.} \\ \kappa\pi_j & \text{for synonymous ts.} \\ \omega\pi_j & \text{for non-synonymous tv.} \\ \omega\kappa\pi_j & \text{for non-synonymous ts.} \end{cases}$$

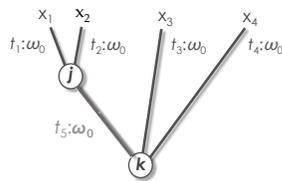
Goldman and Yang (1994)  
Muse and Gaut (1994)

this codon model "MO"

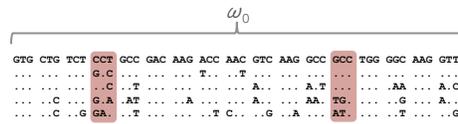
"OMEGA MODELS"

$$Q_{ij} = \begin{cases} 0 & \text{if } i \text{ and } j \text{ differ by } > 1 \\ \pi_j & \text{for synonymous tv.} \\ \kappa\pi_j & \text{for synonymous ts.} \\ \omega\pi_j & \text{for non-synonymous tv.} \\ \omega\kappa\pi_j & \text{for non-synonymous ts.} \end{cases}$$

Goldman and Yang (1994)  
Muse and Gaut (1994)

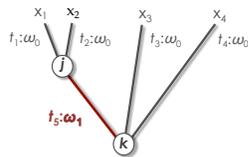


same  $\omega$   
for all branches

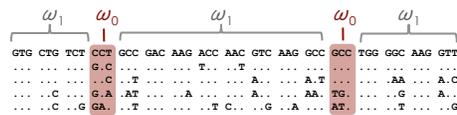


same  $\omega$   
for all sites

two basic types of models

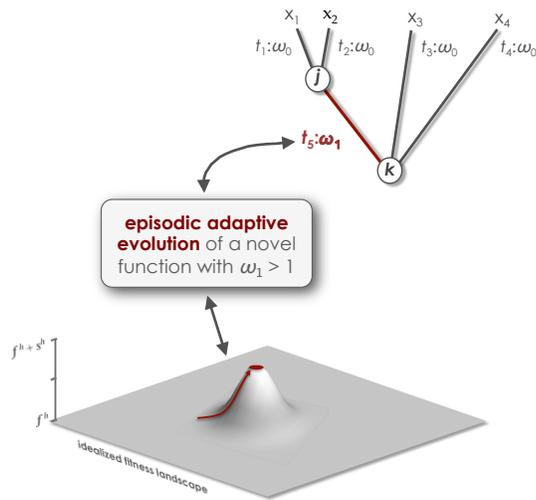


**branch models**  
( $\omega$  varies among  
branches)

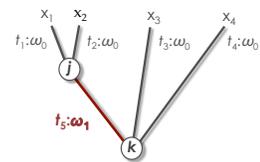


**site models**  
( $\omega$  varies among sites)

interpretation of a branch model



branch models\*

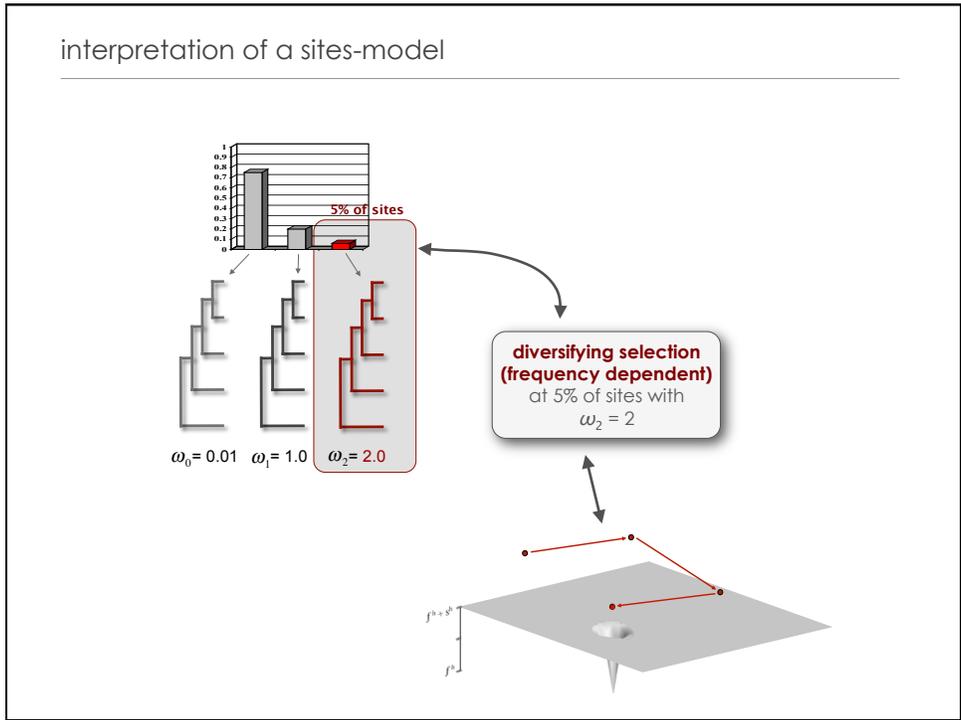


variation ( $\omega$ ) among branches:	approach
Yang, 1998	fixed effects
Bielawski and Yang, 2003	fixed effects
Seo et al. 2004	auto-correlated rates
Kosakovsky Pond and Frost, 2005	genetic algorithm
Dutheil et al. 2012	clustering algorithm

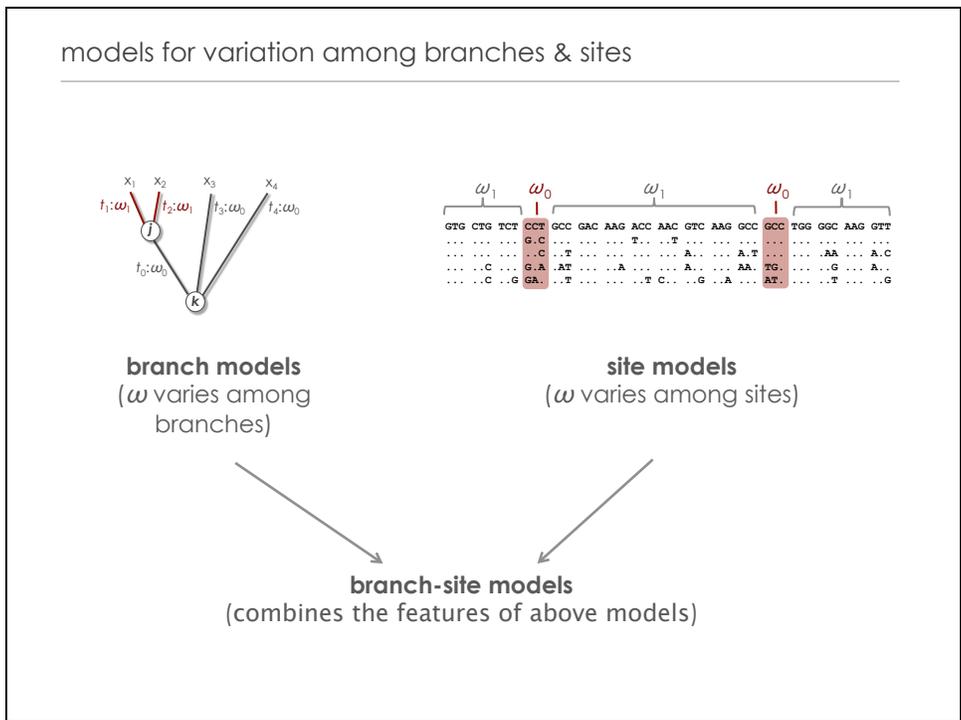
\* these methods can be useful when selection pressure is strongly **episodic**



interpretation of a sites-model



models for variation among branches & sites



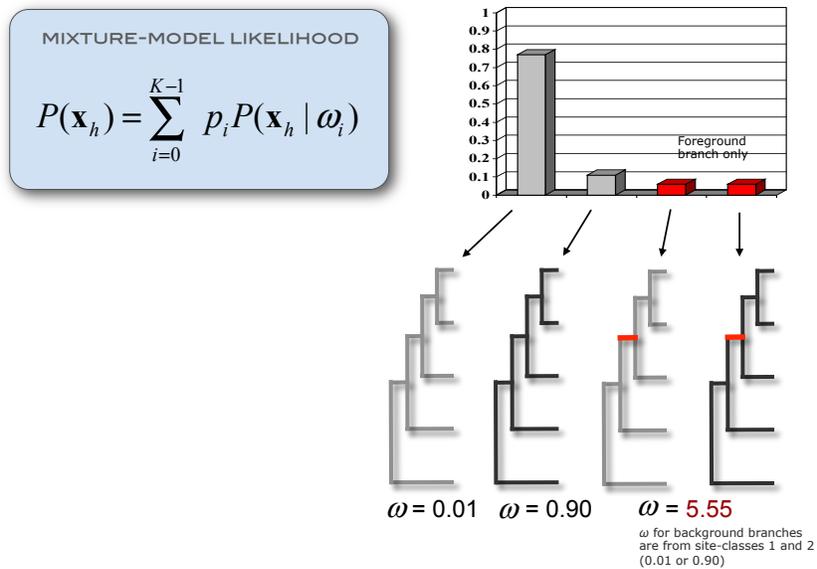
models for variation among branches & sites

variation ( $\omega$ ) among branches & sites:	approach
Yang and Nielsen, 2002	fixed+mixture (ML)
Forsberg and Christiansen, 2003	fixed+mixture (ML)
Bielawski and Yang, 2004	fixed+mixture (ML)
Giundon et al., 2004	covarion-like (ML)
Zhang et al. 2005	fixed+mixture (ML)
Kosakovsky Pond et al. 2011, 2012	full mixture (ML)
Jones et al., 2016, 2018	covarion-like (ML)

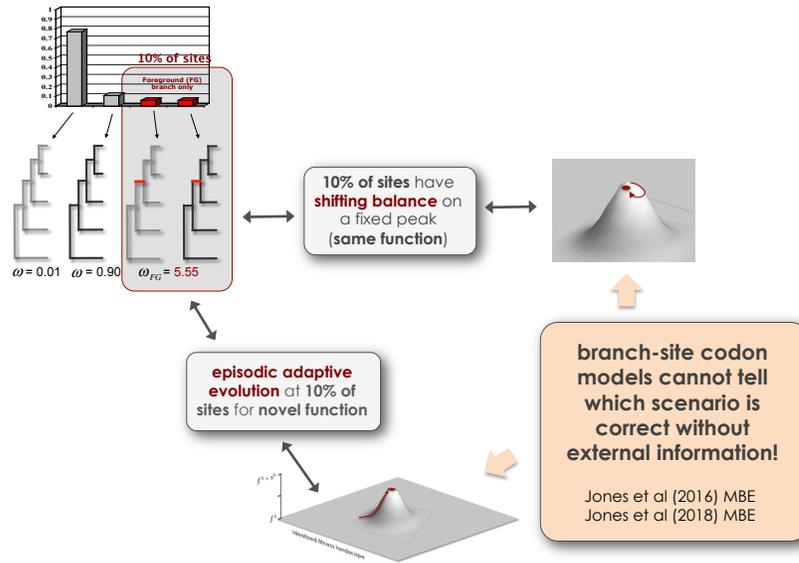
\* these methods can be useful when selection **pressures change over time at just a fraction of sites**

\* it can be a challenge to apply these methods properly (**more about this later**)

branch-site "Model B"



two scenarios can yield branch-sites with  $dN/dS > 1$



model-based inference

model-based inference

"OMEGA MODELS"

$$Q_{ij} = \begin{cases} 0 & \text{if } i \text{ and } j \text{ differ by } > 1 \\ \pi_j & \text{for synonymous tv.} \\ \kappa\pi_j & \text{for synonymous ts.} \\ \omega\pi_j & \text{for non-synonymous tv.} \\ \omega\kappa\pi_j & \text{for non-synonymous ts.} \end{cases}$$

Goldman and Yang (1994)  
Muse and Gaut (1994)

model based inference

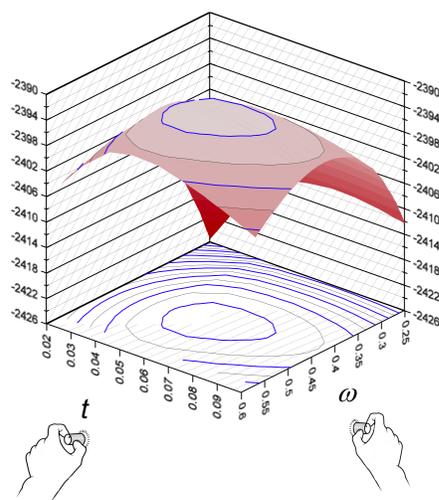
---

### 3 analytical tasks

- task 1.** parameter estimation (e.g.,  $\omega$ ) ←
- task 2.** hypothesis testing
- task 3.** make predictions (e.g., sites having  $\omega > 1$  )

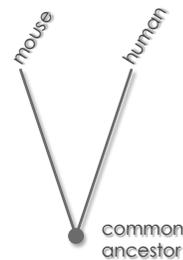
task 1: parameter estimation

---



**Parameters:**  $t$  and  $\omega$

**Gene:** acetylcholine  $\alpha$  receptor



lnL = -2399

task 2: statistical significance

---

task 1. parameter estimation (e.g.,  $\omega$ ) ✓

task 2. hypothesis testing ← **LRT**

task 3. prediction / site identification

task 2: likelihood ratio test for positive selection

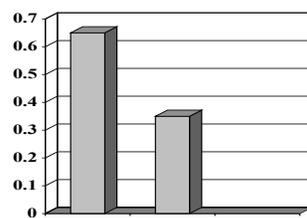
---

$H_0$ : variable selective pressure but NO positive selection (M1)

$H_1$ : variable selective pressure with positive selection (M2)

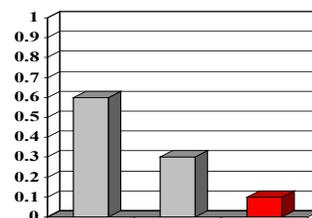
Compare  $2\Delta l = 2(l_1 - l_0)$  with a  $\chi^2$  distribution

Model 1a (**M1a**)



$\hat{\omega} = 0.5$  ( $\omega = 1$ )

Model 2a (**M2a**)



$\hat{\omega} = 0.5$  ( $\omega = 1$ )  $\hat{\omega} = 3.25$

task 3: identify the selected sites

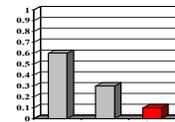
---

- task 1. parameter estimation (e.g.,  $\omega$ ) ✓
- task 2. hypothesis testing ✓
- task 3. prediction / site identification ← **Bayes' rule**

task 3: which sites have  $dN/dS > 1$

---

**model:**  
10% have  $\omega > 1$

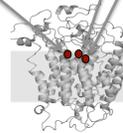


**Bayes' rule:**  
site 4, 12 & 13

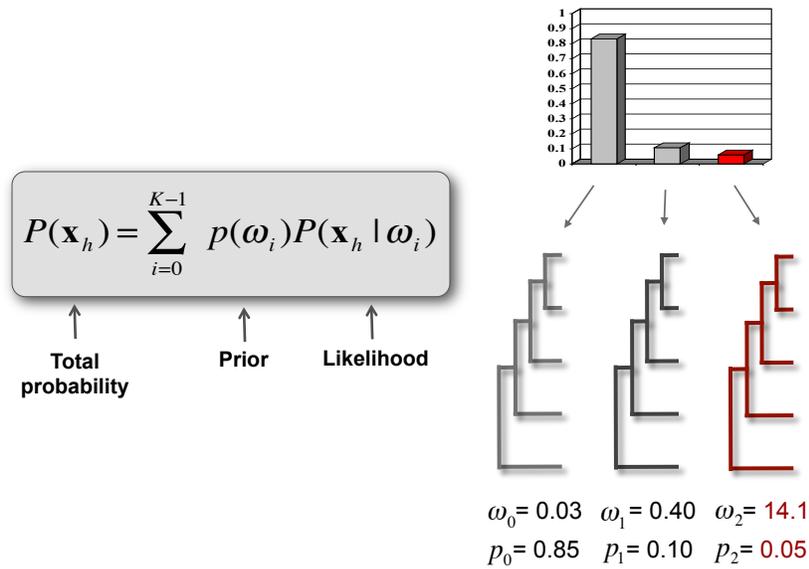
```

GTG CTG TCT SCT GCC GAC AAG ACC AAC GTC AAG GCC GCC TGG GGC AAG GTT GGC GCG CAC
... .. G.C ... .. T...T ... .. A.T ... .. AA ... .. A.C ... .. GC A...
... .. .C .T ... .. A...A ... .. AA.TG ... .. G ... .. A...T ... .. GC ...
... .. C ... .. G.A .AT ... .. A...A ... .. AA.TG ... .. G ... .. A...T ... .. GC ...
... .. C ... .. G SA ... .. T C...G ... .. A ... .. AT ... .. T ... .. G ... .. A ... .. GC ...
    
```

**structure:**  
sites are in contact

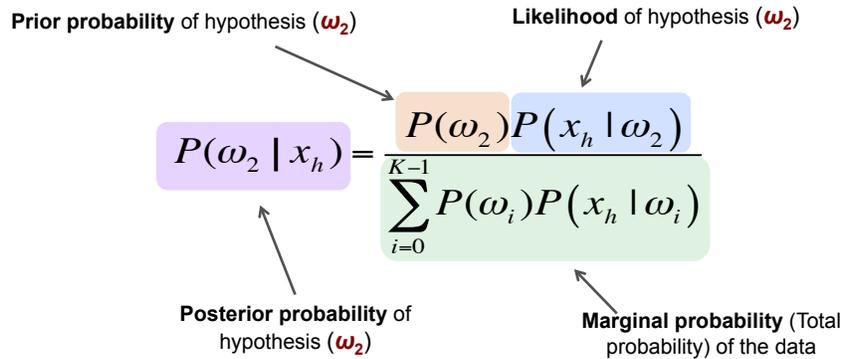


review the mixture likelihood (model **M3**)

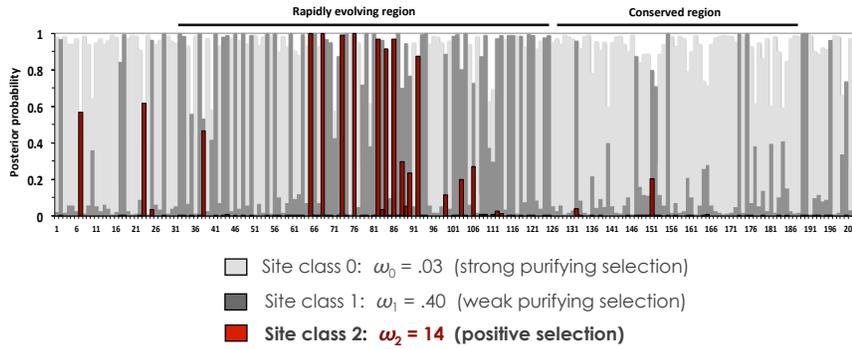


Bayes' rule for identifying selected sites

- Site class 0:  $\omega_0 = .03$ , 85% of codon sites
- Site class 1:  $\omega_1 = .40$ , 10% of codon sites
- Site class 2:  $\omega_2 = 14$ , 05% of codon sites

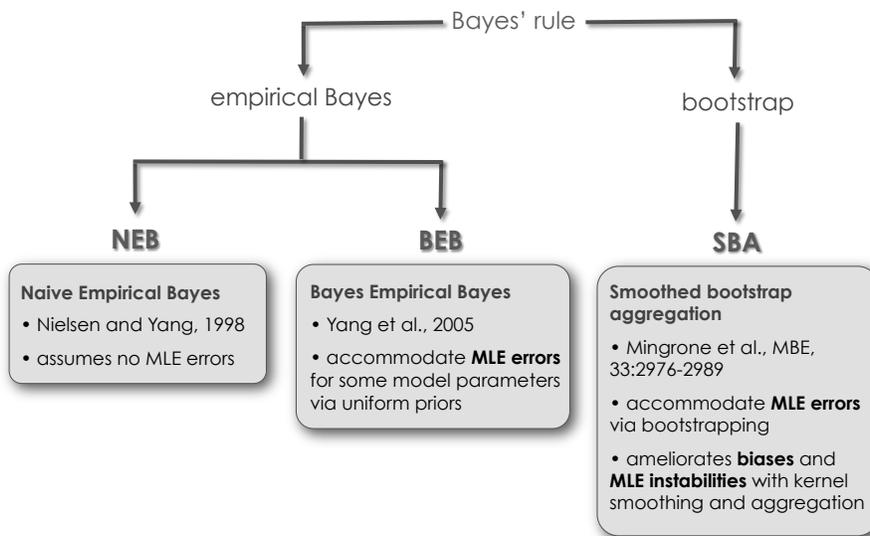


task 3: Bayes rule for which sites have  $dN/dS > 1$



**NOTE:** The posterior probability should NOT be interpreted as a "P-value"; it can be interpreted as a measure of relative support, although there is rarely any attempt at "calibration".

task 3: Bayes rule for which sites have  $dN/dS > 1$

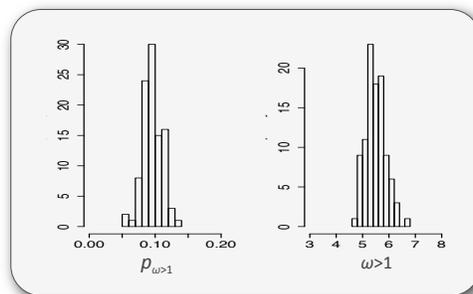


critical question:

*Have the requirements for maximum likelihood inference been met?*

(rarely addressed in real data analyses)

regularity conditions have been met

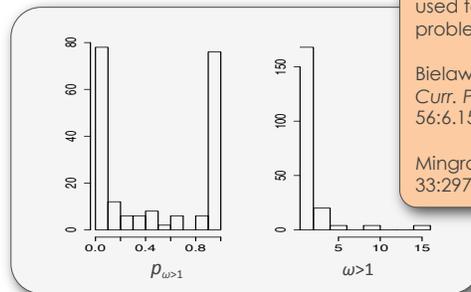


**Normal MLE uncertainty (M2a)**

- large sample size with regularity conditions
- MLEs approximately unbiased and minimum variance

$$\hat{\theta} \sim N\left(\theta, I(\hat{\theta})^{-1}\right)$$

regularity conditions have **NOT** been met



**bootstrapping** can be used to diagnose this problem:

Bielawski et al. (2016)  
*Curr. Protoc. Bioinf.*  
56:6.15.

Mingrone et al., *MBE*,  
33:2976-2989

#### MLE instabilities (M2a)

- small sample sizes and  $\theta$  on boundary
- continuous  $\theta$  has been discretized (e.g., M2a)
- non-Gaussian, over-dispersed, divergence among datasets

software for codon models in the ML framework

**PAML**: a package of programs for process modeling

**HyPhy**: comparative sequence analysis using stochastic evolutionary models;  
<http://www.hyphy.org/>

**DataMonkey**: a server that supports a variety of HYPHY tools at no cost;  
<http://www.datamonkey.org/>

**COLD**: a program that implements a general-purpose parametric (GPP) codon model. Most codon models are special cases of the GPP codon model. <https://github.com/tjk23/COLD>

**codeml\_SBA**: a program that implements smoothed Bootstrap Aggregation for Assessing Selection Pressure at Amino Acid Sites.  
[https://github.com/Jehops/codeml\\_sba](https://github.com/Jehops/codeml_sba).

**ModL**: a program for restoring regularity when testing for positive selection using codon models [https://github.com/jehops/codeml\\_modl](https://github.com/jehops/codeml_modl)

part 4: phenomenological load and biological inference

biological inference  
 part 4: phenomenological load and

*phenomenological load*

review types of models

phenomenological

**Newton**

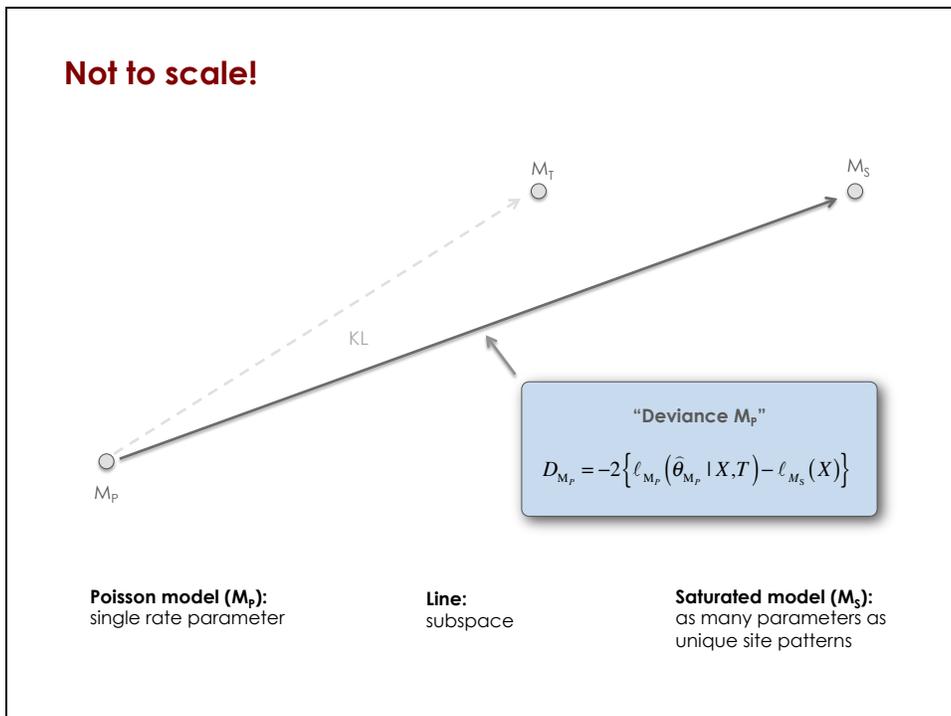
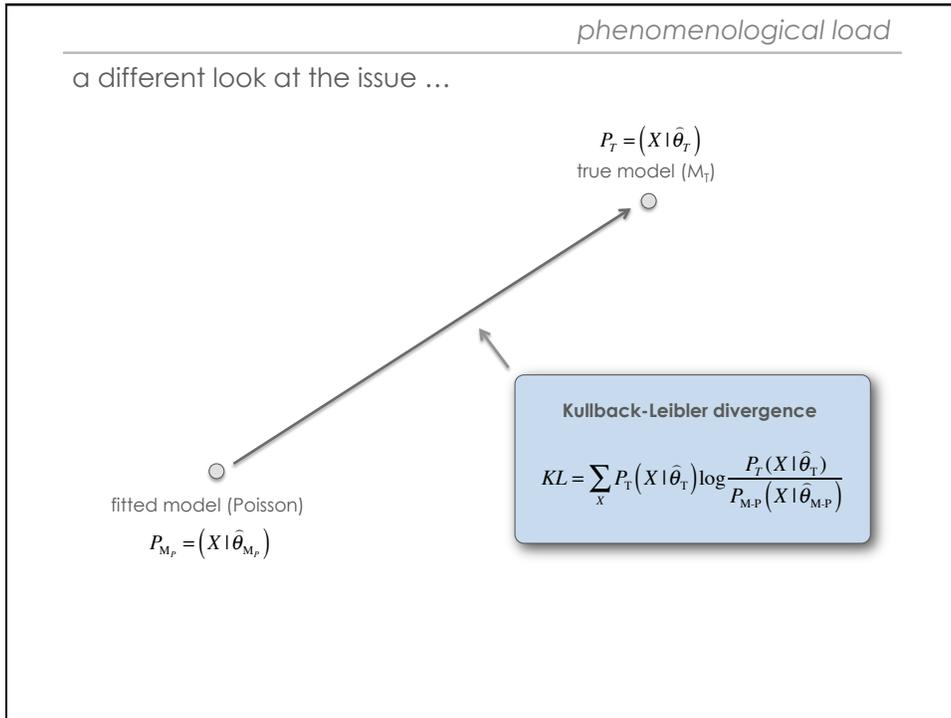
$$F = -\frac{Gm_1m_2}{r^2}$$

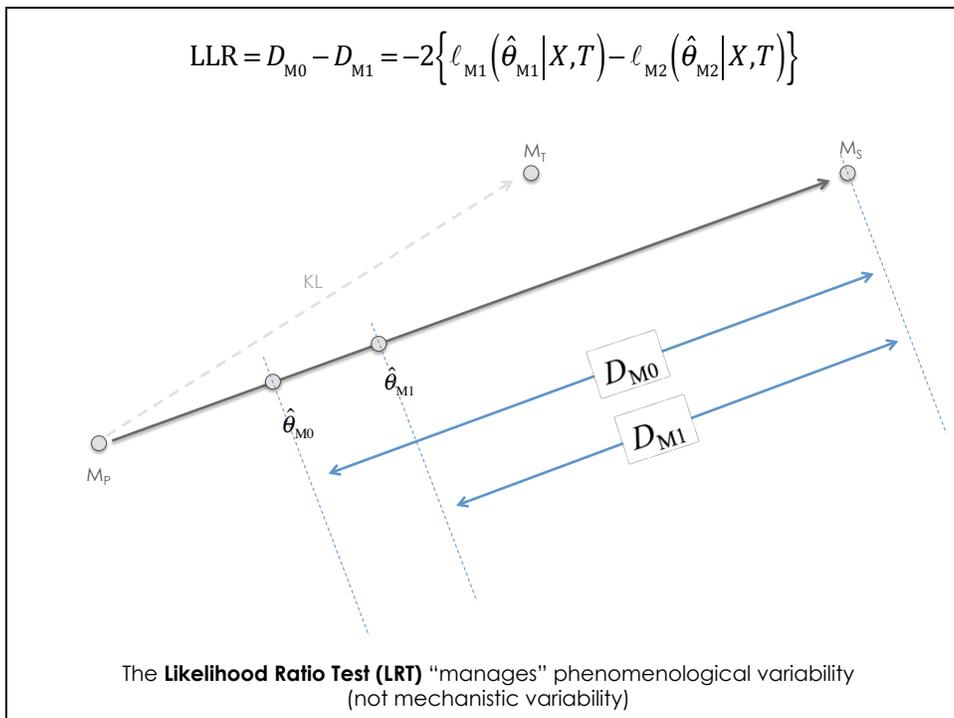
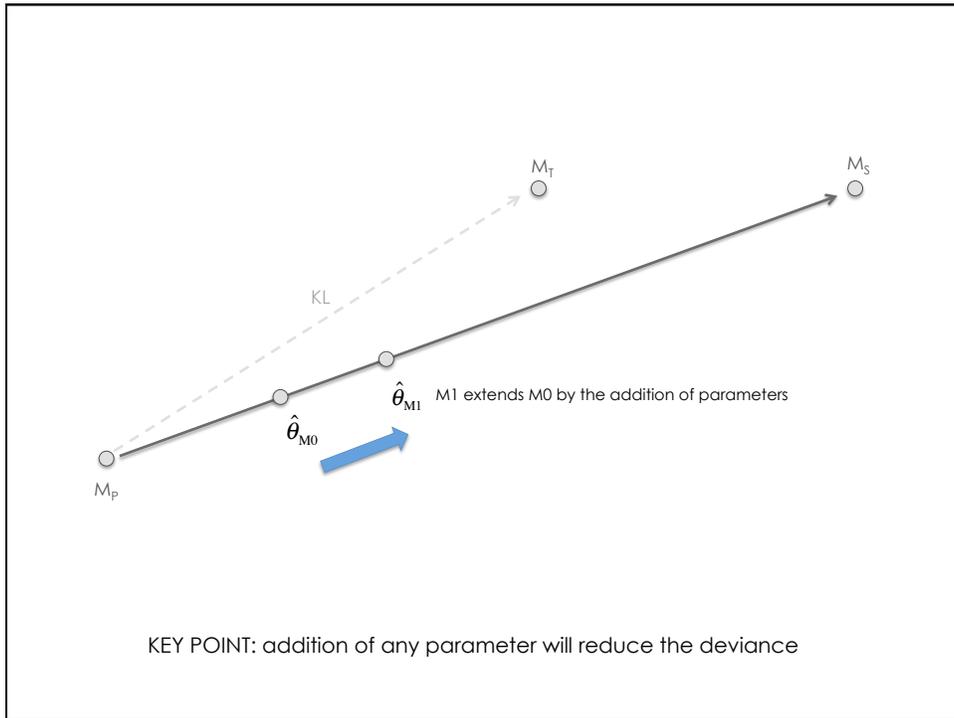
mechanistic

**Einstein**

$$G_{\alpha\beta} = 8\pi T_{\alpha\beta}$$







let's do a simulation study

and

let's use "double mutations" and "triple mutations" as an example

example double (D):    ATG (Met) → AAA (Lys)

example triple (T):     AAA (Lys) → GGG (GLY)

the simulation and the outcomes...

process ( $M_T$ ):

**simulation**

- MutSel
- $f^h$  differ for each site
- **NO** DT-mutations
- 12 mt proteins (3331 codons)
- 20 mammals

outcome ( $X$ ):

**we need outcomes to match up**

real mtDNA data

A

simulation outcome

B

heat maps: proportion of sites having a given pair of AAs

**Our simulated data LOOKS LIKE the REAL DATA!**

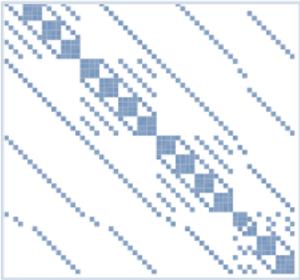
**DT: Double and Triple mutations**

Example double:     ATG (Met) → AAA (Lys) [ $\alpha$  parameter]

Example triple:     AAA (Lys) → GGG (GLY) [ $\beta$  parameter]

**M0 Q matrix**

- 2 parameters ( $\kappa$  and  $\omega$ )
- DT not allowed

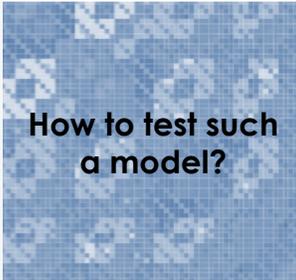


white: probability = 0

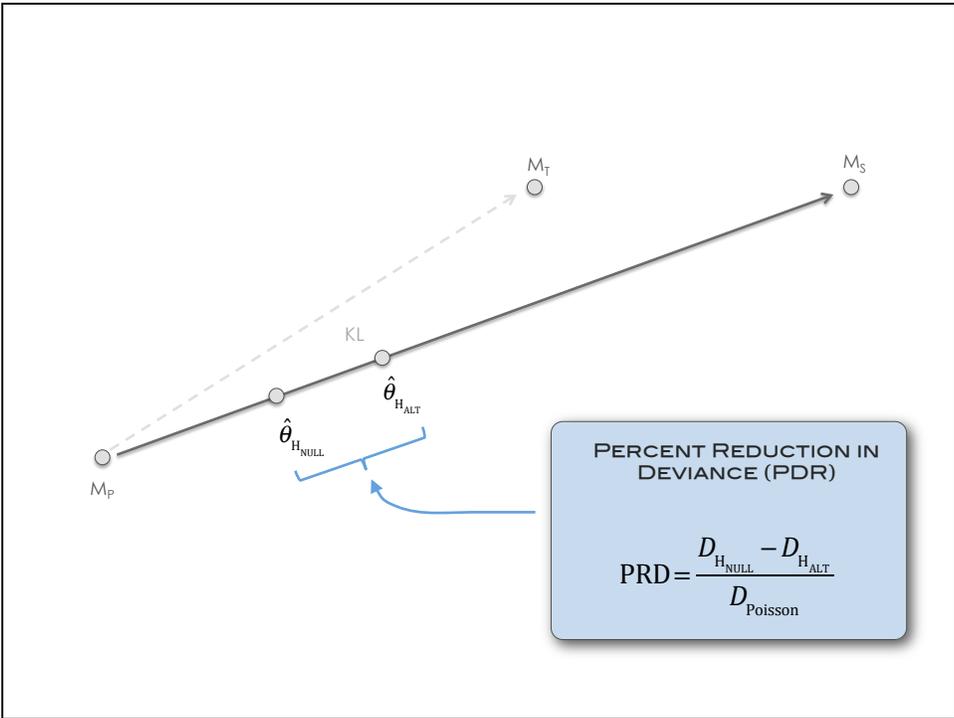
↓

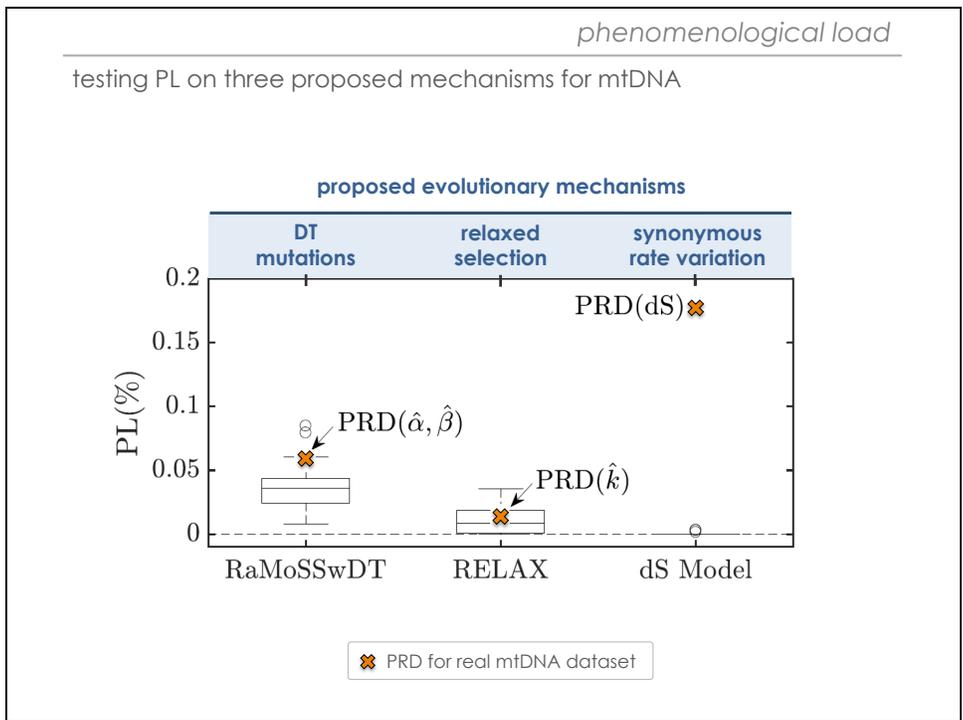
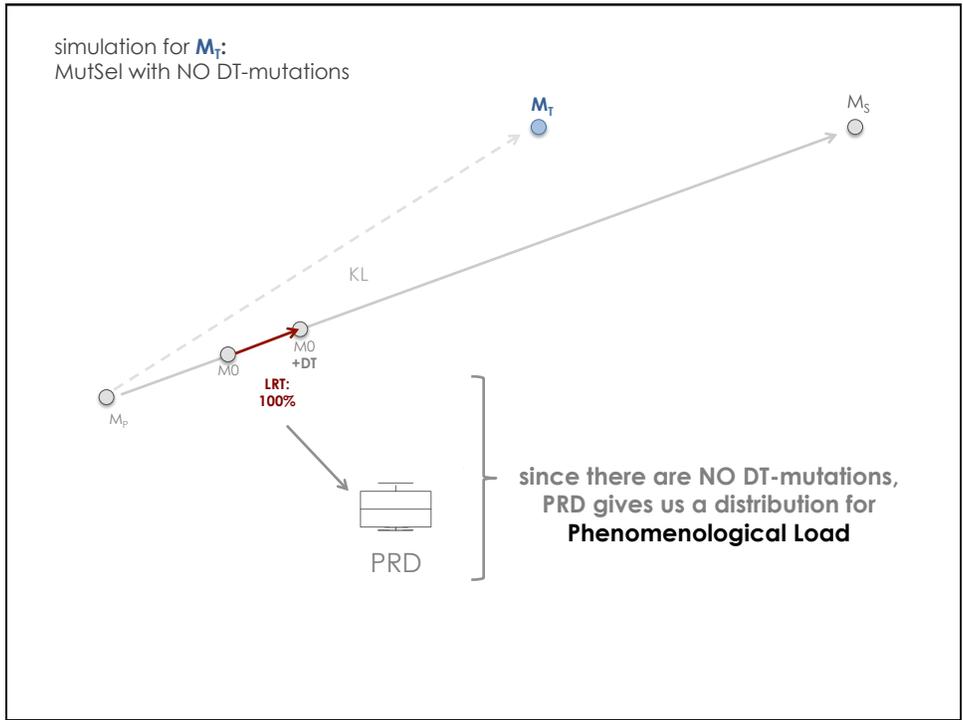
**New Q matrix: M0 + DT**

- 4 parameters ( $\kappa, \omega, \alpha, \beta$ )
- DT allowed (via  $\alpha$  and  $\beta$ )



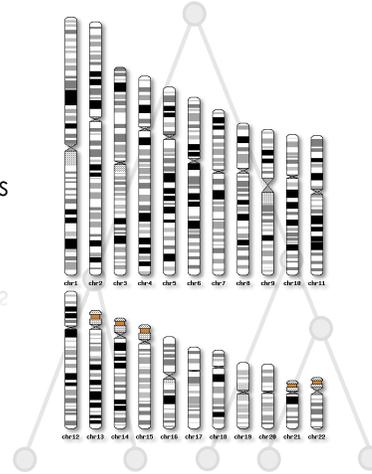
How to test such a model?





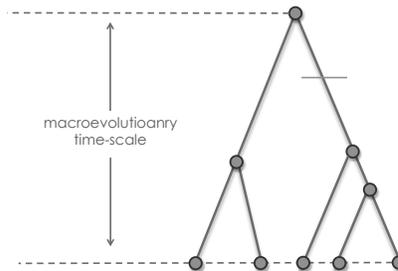
part 5: re-assessing long-held paradigms  
for evidence of adaptive evolution

for evidence of adaptive evolution  
part 2: re-assessing long-held paradigms



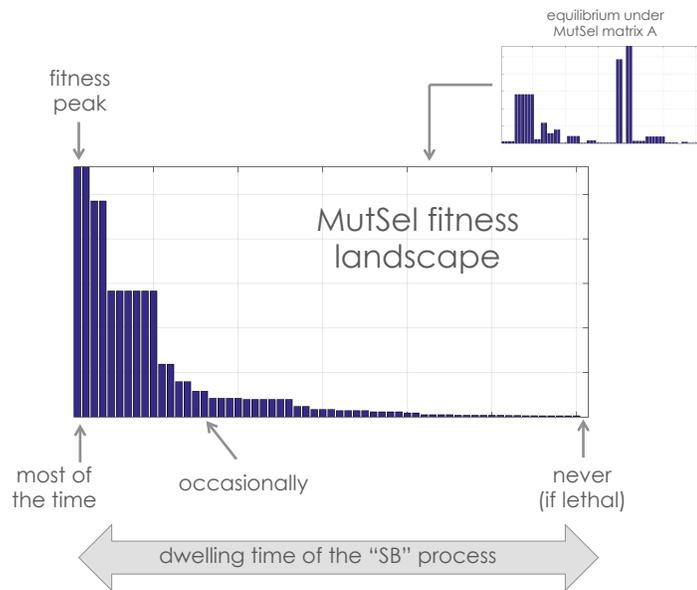
**Three paradigms for "this" side:**

1. codon substitution model:  $d_N/d_S > 1$  is evidence of adaptive evolution
2. "mechanistic" substitution models are better
3. It's easy to test and predict model performance via simulation

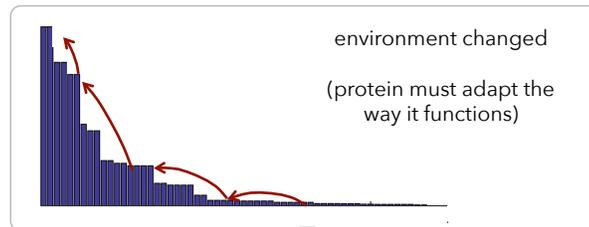


**Paradigm 1:**  $d_N/d_S > 1$  is evidence of adaptive evolution of function

the MutSel fitness landscape



the MutSel fitness landscape: **adaptive evolution**

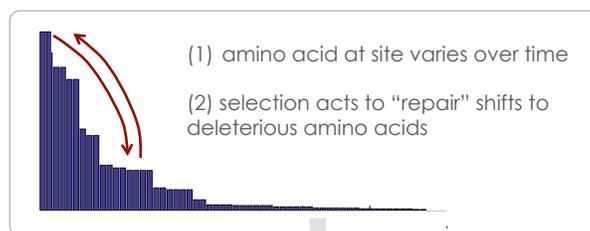


**key result 1:**

adaptive evolution:  $p_+ > p_-$   
("peak shift")

$d_N/d_S > 1$  (transient)

the MutSel fitness landscape: **non-adaptive shifting balance**



**key result 2:**

purifying selection:  $p_+ = p_-$   
(static landscape)

$d_N/d_S > 1$  (transient)

Paradigm 1

**MYTH BUSTED**

adaptive

function

**Reality:**  $d_N/d_S > 1$  on a fixed landscape with **no change in function**

**Proposal:** develop new frameworks that do NOT depend on the  $d_N/d_S > 1$  paradigm

**Paradigm 2:** "mechanistic" substitution models should be better

All imply you move closer to a **true mechanistic model**

Myazawa BMC Evolutionary Biology 2013, 13:257  
http://www.biomedcentral.com/1471-2148/13/257

BMC  
Evolutionary Biology

**RESEARCH ARTICLE** Open Access

**Superiority of a mechanistic codon substitution model even for protein sequences in Phylogenetic analysis**

Sanzo Myazawa

**On the Need for Mechanistic Models in Computational Genomics and Metagenomics**

David A. Liberles<sup>1,\*</sup>, Ashley I. Teufel<sup>1</sup>, Liang Liu<sup>2</sup>, and Tanja Stadler<sup>3</sup>

<sup>1</sup>Department of Molecular Biology, University of Wyoming

<sup>2</sup>Department of Statistics and Institute of Bioinformatics, University of Georgia

<sup>3</sup>Institut für Integrative Biologie, Eidgenössische Technische Hochschule Zürich

**A Generalized Mechanistic Codon Model**

Maryam Zaheri<sup>†,1,2</sup>, Linda Dib<sup>†,1,2</sup> and Nicolas Salamin<sup>\*1,2</sup>

<sup>1</sup>Department of Ecology and Evolution, Biophore, University of Lausanne, 1015 Lausanne, Switzerland

<sup>2</sup>Swiss Institute of Bioinformatics, Genopode, Quartier Sorge, 1015 Lausanne, Switzerland

<sup>†</sup>These authors contributed equally to this work.

$$LRR = D_{M0} - D_{M1} = -2 \left\{ \ell_{M1}(\hat{\theta}_{M1} | X, T) - \ell_{M2}(\hat{\theta}_{M2} | X, T) \right\}$$

The **Likelihood Ratio Test (LRT)** "manages" phenomenological variability (not mechanistic variability)

**Paradigm 2**

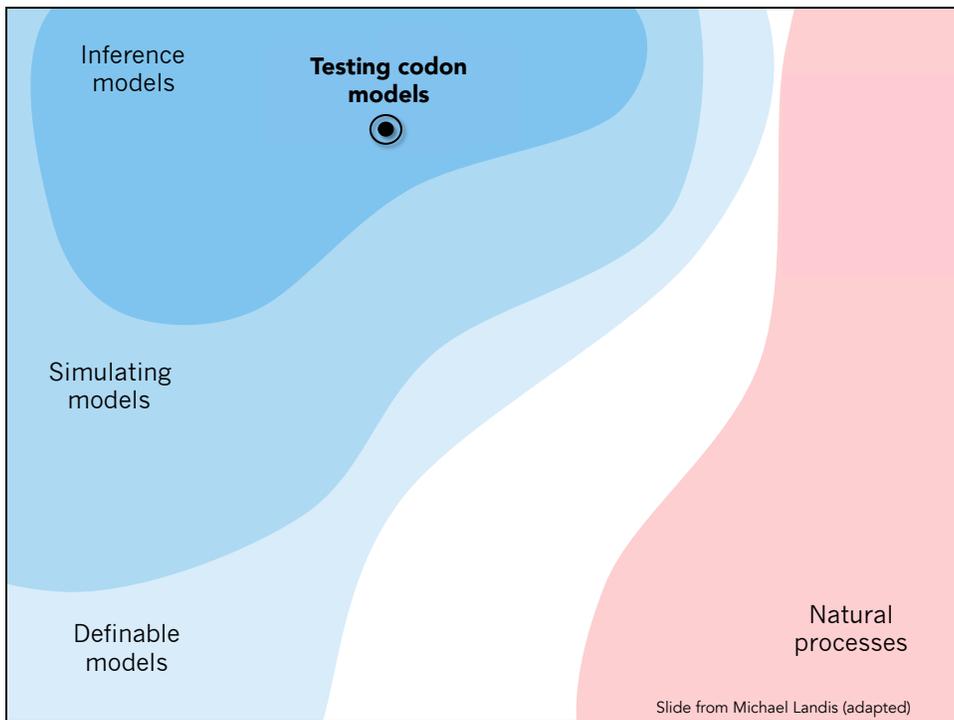
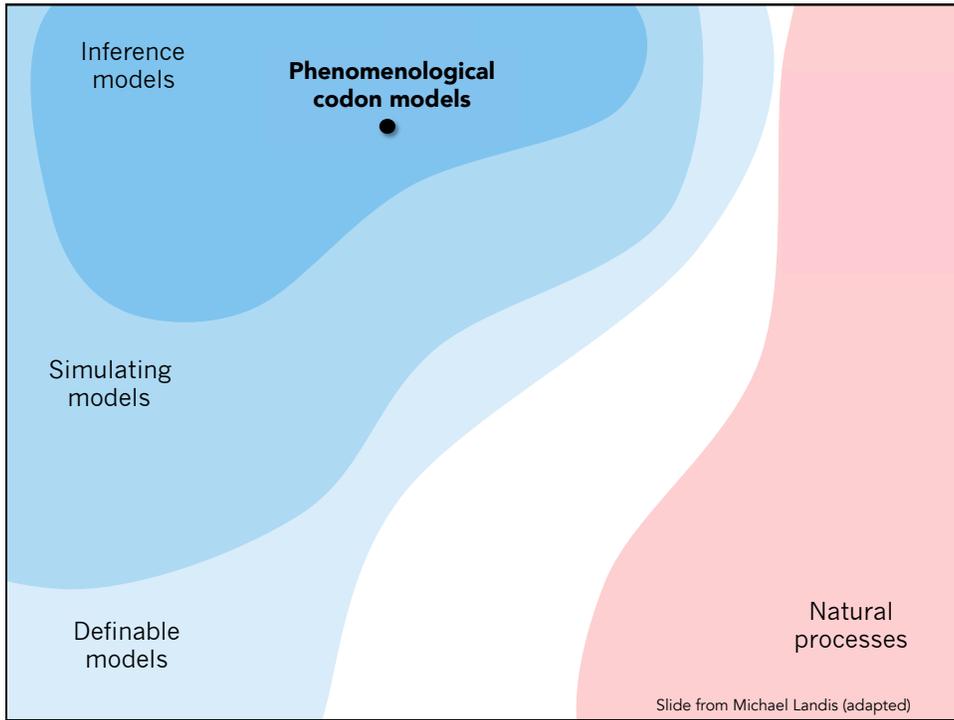
**MYTH BUSTED**

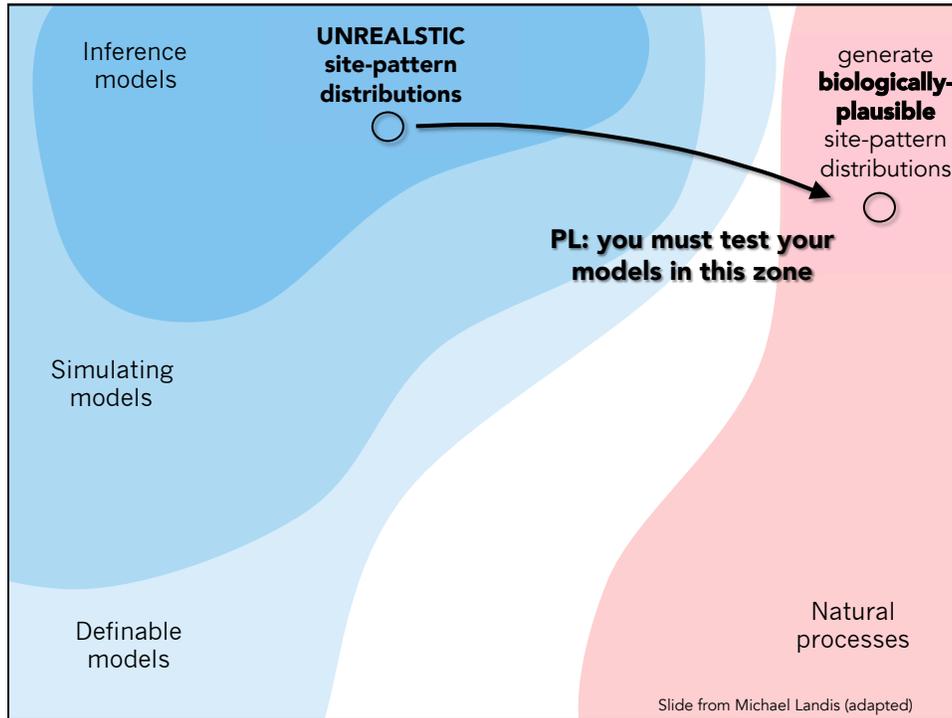
substitution models  
be better

**For real data:** mechanistic parameters within  
models are expected to carry some  
**Phenomenological Load**

**Proposal:** intentionally add phenomenological  
parameters that improve inferences (e.g., covarion  $\delta$ )

**Paradigm 3:** It's easy to test and predict model  
performance via simulation





**Paradigm 3:** It's hard to compare model performance

**MYTH BUSTED**

**In reality it's hard to** (1) compare complex site pattern distributions, and (2) identify models that produce biologically plausible distributions

**Proposal:** we need to do more work on how to generate "realistic" site pattern distributions and change the way we think about testing model performance

## How can you really tell if you have learned anything relevant to the function of your protein?

- combine computational and **experimental approaches** (B. Chang, next lecture; "**Gold Standard**")
- informal cross-validation via comparison with **external phenotypic information** (B. Chang, next lecture)
- formally **include phenotypic information within the likelihood inference framework** (we have this working; the paper is in revision... "stay tuned")



**THE END.**